



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US93/08410 <b>(22) International Filing Date:</b> 7 September 1993 (07.09.93) <b>(30) Priority data:</b> 07/939,897 4 September 1992 (04.09.92) US <b>(60) Parent Application or Grant</b> (63) Related by Continuation US 07/939,897 (CIP) Filed on 4 September 1992 (04.09.92) <b>(71) Applicant (for all designated States except US):</b> THE REGENTS OF THE UNIVERSITY OF CALIFORNIA [US/US]; 2150 Shattuck Avenue, Suite 510, Berkeley, CA 94704-1318 (US).		<b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only) :</b> SHOREY, Harry, H. [US/US]; 19 East Santa Ana Avenue, Fresno, CA 93704 (US). GASTON, Lyle, K. [US/US]; 3648 Mt. Vernon Avenue, Riverside, CA 92507 (US). <b>(74) Agent:</b> SWISS, Gerald, F.; Burns, Doane, Swecker & Matis, George Mason Building, Washington and Prince Streets, P.O. Box 1404, Alexandria, VA 22313-1404 (US). <b>(81) Designated States:</b> AT, AU, BB, BG, BR, BY, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, US, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> METHODS AND COMPOSITIONS FOR REPELLING ANTS, WASPS AND TERMITES WITH REPELLENTS  <b>(57) Abstract</b>  Disclosed are methods and compositions for retarding the movement of ants, wasps, and/or termites to a specific locus by use of farnesol or a farnesol related compound.		

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METHODS AND COMPOSITIONS FOR REPELLING ANTS,  
WASPS AND TERMITES WITH REPELLENTS

Background of the Invention

1. Field of the Invention

5           This invention is directed to methods and compositions for  
retarding the movement of ants, wasps and/or termites to a specific locus  
by use of a repellent. Specifically, in this invention, ants, wasps and/or  
termites are retarded from moving to a specific site by use of farnesol or  
related compounds. In one embodiment, farnesol or a related compound  
10 is impregnated into a compatible matrix, and the resulting matrix is used  
as a repellent barrier to retard the movement of ants, wasps, and/or  
termites to a specific locus.

2. References

15           The following references are cited in this application as superscript  
letters at the relevant portion of the application:

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10 All publications are herein incorporated by reference in their entirety to the same extent as if each individual publication were specifically and individually indicated to be incorporated by reference in its entirety.

#### State of the Art

15 The art is continuously searching for effective and relatively safe methods for retarding the movement of ants, wasps and termites into a specific locus such as homes, trees, yards, etc. In general, ants, wasps, and/or termites are typically removed from a specific locus with an insecticide which is toxic to the ants, wasps, and/or termites. However, such use of insecticides is temporary, and sometime thereafter the ants, 20 wasps, and/or termites may reinfest the locus. Additionally, the use of insecticides causes several major concerns. Initially, the use of insecticides poses environmental concerns, and indiscriminate use of such insecticides on cultivated acreage can result in contamination of agricultural products, possible contamination of ground water, etc., 25 whereas the indiscriminate use of insecticides in a household setting can result in contamination of foodstuffs with the insecticide as well as

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possible exposure of the occupants to high concentrations of insecticide. Secondly, insecticides typically do not discriminate between ants, wasps, and termites and useful insects which might be exposed to the insecticide. For example, in an orchard setting, the use of such insecticides can result  
5 in removal of insects, i.e., bees which are required to pollinate the blossoms.

One alternative approach to the use of insecticides for controlling certain insects is the use of attractants or repellents. In this regard, it is well known that many social insects (e.g., ants, wasps, termites, and the  
10 like) communicate with others of their species and with their environment largely through behaviorally active chemicals<sup>A</sup>. Some of the chemicals cause repellency or inhibition of ongoing behavior, and others cause attraction.

In regard to the above, alarm compounds released from an ant  
15 which is injured or attacked may cause a variety of specific behaviors, including repellency, in nearby ants of the same species.

The alarm compounds of some ants have received considerable study. An example is the genus *Atta* in which the mandibular glands contain a rich medley of chemicals including citral<sup>B</sup>, nerol<sup>C</sup>, geraniol<sup>C</sup>,  
20  $\beta$ -pinene<sup>D</sup>, citral<sup>D</sup>, farnesol<sup>D</sup> and 14 other compounds<sup>D</sup>.

Another example of a chemical medley constituting ant alarm compounds was identified by Lloyd et al.<sup>E</sup> who found methyl salicylate to be a principal alarm component produced by workers of several species of honey ants, *Myrmecocystus* spp. A number of terpenoids were also found

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in these worker ants, including neral, geranial, citronellol, and limonene, and, presumably, these also possess alarm activity.

The various species of *Formica*, when alarmed, spray mixtures of formic acid and Dufour's gland secretion toward the enemy, with the  
5 mixtures serving simultaneously as defensive substances and alarm compounds<sup>M</sup>.

The ant, *Forelius foetidus*, secretes 2-heptanone which, when used singly, functions as an alarm compound. It also secretes *cis,trans*-  
iridodial, which, when mixed with 2-heptanone, elicits defensive behavior  
10 in *Forelius foetidus* and repellent behavior in two other ants, *Solenopsis maniosa* and *Crematogaster californica*<sup>N</sup>.

On the other hand, there is apparently no alarm compound released by the workers of the Argentine ant, *Iridomyrmex humilis* (Mayr)<sup>O</sup>.

15 Other insects release repellents against predatory insects including ants. For example,  $\alpha$ -pinene is released in the secretion of the larval osmeteria of papilionid caterpillars to ward off ants<sup>F</sup>; 4,11-epoxy-*cis*-eudesmane is released by the Nearctic desert termite, *Amitermes minimus*, to repel the ant *Crematogaster californica*<sup>G</sup>; the tropical social wasps,  
20 *Polistes fuscatus*, secrete methyl palmitate into their nest supports to prevent ants of several species from preying on their brood<sup>H,I,J</sup>; when necessary, staphylinid beetles of the genus *Pella* release citronellal to disperse ants of the species *Lasius spathopus* in addition to releasing iridoid dialdehyde,  $\alpha$ -pinene, neral, geranial, nerol, and citronellol<sup>K,L</sup>.

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In insect management, attractants are typically employed in combination with a trap which can optionally include an insecticide. In this embodiment, the insects are induced to move to the trap by the attractant and once there are trapped within, and when an insecticide is  
5 used, the insects are killed by the insecticide.

On the other hand, repellents are typically employed in solution (e.g., an aqueous solution) or are incorporated into a matrix. When incorporated into a matrix, the resulting matrix is positioned so as to form a barrier between the insects and a specific site where the insects are not  
10 to enter.

The use of repellents for agricultural and/or household insect management provides several advantages over the use of insecticides. First, because of their natural activity, the repellent is very efficient in repelling insects from a specific site. Second, the high activity of the  
15 repellent can permit the use of significantly lower concentrations of active ingredients as compared to insecticides, and in some cases, less than 20 grams per acre is required to effect repellency. In turn, when used at less than 20 grams per acre, the EPA registration guidelines for acceptance of the repellent become more feasible.

20 However, notwithstanding the above, one problem heretofore encountered with the use of matrices containing a repellent is that over a relatively short period of time the repellent can lose substantial activity. The reason for this rapid loss of repellent activity is not known with certainty, but it is possible that it can relate to high volatility of the  
25 repellent, oxidation of the repellent, etc. or combinations of these reasons.



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In any event, the rapid loss of activity causes several problems. Initially, when activity is rapidly lost, it is not feasible to incorporate the repellent into the matrix at the time of manufacture. Rather, it is necessary to add the repellent to the matrix at or shortly before  
5 positioning the matrix at the intended site. Secondly, even if the repellent is incorporated into the matrix at the time the matrix is positioned at its intended site, the matrix will need repeated replacements in order to effect continued insect repellency from the intended site. In any event, the entire process of impregnating the matrix, positioning the matrix and  
10 repeating this process several times becomes rather labor intensive.

In view of the above, a matrix impregnated with a repellent having prolonged repellent activity would represent a significant advance in the use of repellents in insect management.

This invention is directed to the discovery that farnesol type  
15 compounds are useful in repelling ants, wasps, and termites and is further directed to the discovery that these compounds unexpectedly provide for prolonged activity when incorporated into a matrix.

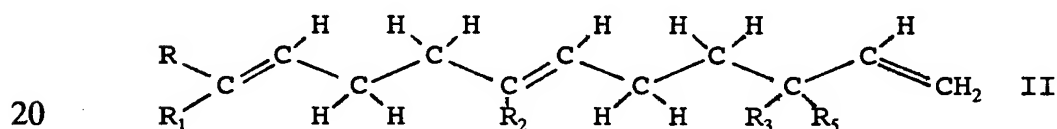
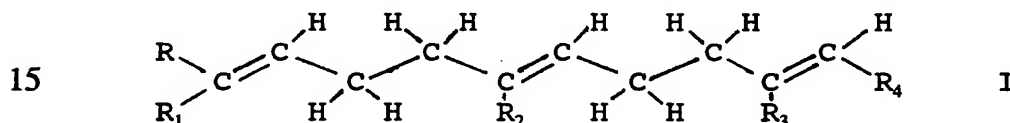
In regard to the above, it is noted that while farnesol has been disclosed as a repellent for the German cockroach, *Blattella germanica*<sup>P</sup>,  
20 it is also noted that a mixture containing farnesol is commercially sold as an attractant for mites<sup>Q</sup>. However, there appears to be no disclosure that farnesol type compounds would be an effective repellent for ants, wasps and termites or that, when combined with a compatible matrix, these compounds would provide for prolonged repellency to ants, wasps, and  
25 termites.

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Summary of the Invention

As noted above, this invention is directed, in part, to the discovery that farnesol and related compounds (e.g., nerolidol) are effective repellents to ants, wasps and termites. This invention is further directed, in part, to the discovery that when farnesol, compounds related to farnesol, or mixtures thereof, are incorporated into a compatible matrix, the resulting matrix possesses significantly prolonged repellent effectiveness.

In view of the above, in one of its method aspects, this invention is directed to a method for retarding ants, wasps, and/or termites from moving to a specific locus which comprises applying a repellent effective amount of a compound of formula I or of formula II:



between the ants, wasps, and/or termites and the locus

wherein R, R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are independently selected from the group consisting of hydrogen and lower alkyl of 1 to 3 carbon atoms;

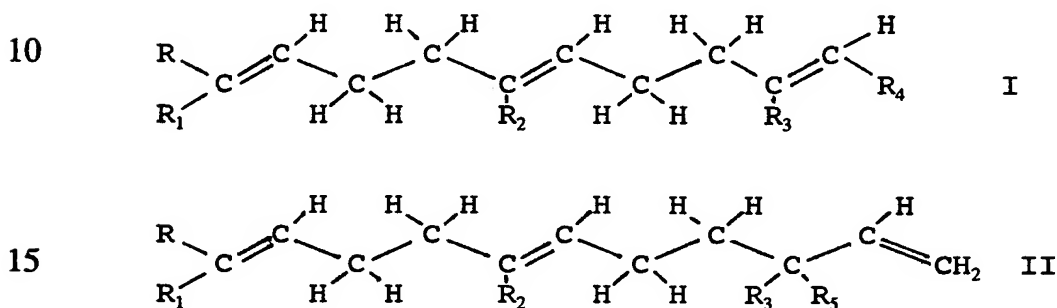
R<sub>4</sub> is selected from the group consisting of -CH<sub>3</sub>, -CH<sub>2</sub>OH, -CH<sub>2</sub>Cl, -CH<sub>2</sub>Br, -CH<sub>2</sub>OC(O)R<sub>6</sub>, and -COOR<sub>7</sub> wherein R<sub>6</sub> is hydrogen or alkyl of from 1 to 4 carbon atoms and R<sub>7</sub> is hydrogen or alkyl of from 1 to 4 carbon atoms; and

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$R_5$  is selected from the group consisting of hydrogen, hydroxyl, chloro, bromo, and  $-OC(O)R_6$  wherein  $R_6$  is hydrogen or alkyl of from 1 to 4 carbon atoms.

In another of its method aspects, this invention is directed to a method for the prolonged retardation of ant and/or termite movement to a specific locus which comprises the steps of:

(a) impregnating a compatible matrix with a repellent effective amount of a compound of formula I or of formula II:



wherein  $R$ ,  $R_1$ ,  $R_2$  and  $R_3$  are independently selected from the group consisting of hydrogen and lower alkyl of 1 to 3 carbon atoms;

$R_4$  is selected from the group consisting of  $-\text{CH}_3$ ,  $-\text{CH}_2\text{OH}$ ,  $-\text{CH}_2\text{Cl}$ ,  $-\text{CH}_2\text{Br}$ ,  $-\text{CH}_2\text{OC(O)}R_6$ , and  $-\text{COOR}_7$  wherein  $R_6$  is hydrogen or alkyl of from 1 to 4 carbon atoms and  $R_7$  is hydrogen or alkyl of from 1 to 4 carbon atoms; and

$R_5$  is selected from the group consisting of hydrogen, hydroxyl, chloro, bromo, and  $-OC(O)R_6$  wherein  $R_6$  is hydrogen or alkyl of from 1 to 4 carbon atoms;

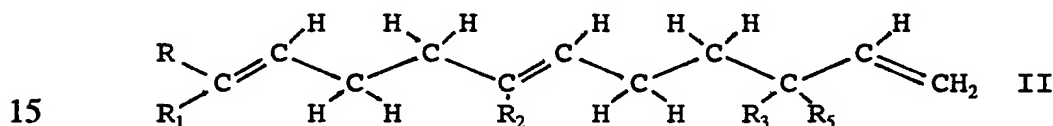
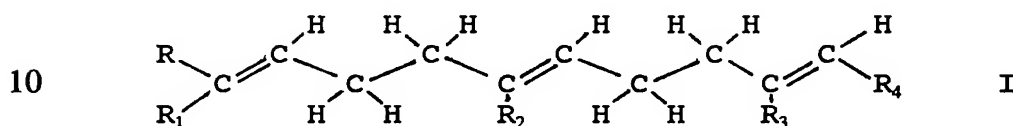
(b) positioning the compatible matrix formed in step (a) above between said locus and said ants, wasps, and/or termites; and

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(c) retaining said matrix in said position for a period of at least 28 days.

In one of its composition aspects, the present invention is directed to a liquid composition comprising:

- 5 (a) a compatible matrix;  
 (b) a repellent effective amount of a compound of formula I or of formula II:



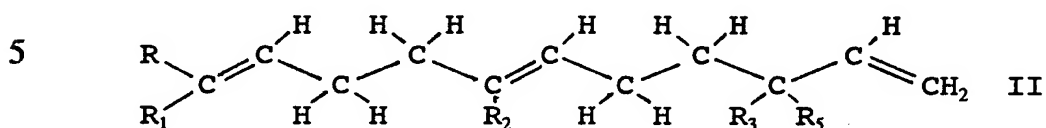
wherein R, R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are independently selected from the group consisting of hydrogen and lower alkyl of 1 to 3 carbon atoms;

R<sub>4</sub> is selected from the group consisting of -CH<sub>3</sub>, -CH<sub>2</sub>OH, -CH<sub>2</sub>Cl, -CH<sub>2</sub>Br, -CH<sub>2</sub>OC(O)R<sub>6</sub>, and -COOR<sub>7</sub> wherein R<sub>6</sub> is hydrogen or alkyl of from 1 to 4 carbon atoms and R<sub>7</sub> is hydrogen or alkyl of from 1 to 4 carbon atoms; and

R<sub>5</sub> is selected from the group consisting of hydrogen, hydroxyl, chloro, bromo, and -OC(O)R<sub>6</sub> wherein R<sub>6</sub> is hydrogen or alkyl of from 1 to 4 carbon atoms; and

- 25 (c) a compatible solvent.

In another of its composition aspects, the present invention is directed to a composition comprising a compatible matrix containing a repellent effective amount of a compound of formula II:



wherein R, R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are independently selected from the group consisting of hydrogen and lower alkyl of 1 to 3 carbon atoms; and

10  $R_5$  is selected from the group consisting of hydrogen, hydroxyl, chloro, bromo, and  $-OC(O)R_6$  wherein  $R_6$  is hydrogen or alkyl of from 1 to 4 carbon atoms and  $R_7$  is hydrogen or alkyl of from 1 to 4 carbon atoms.

In a preferred embodiment, in the compounds of formula I, R, R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are methyl and R<sub>4</sub> is -CH<sub>2</sub>OH (i.e., farnesol). In another preferred embodiment, in the compounds of formula II, R, R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> are methyl and R<sub>5</sub> is hydroxyl (i.e., nerolidol).

In still another preferred embodiment, the compounds of formula I and formula II are employed to retard the movement of ants and particularly Argentine ants, *Iridomyrmex humilis*.

### Detailed Description of the Preferred Embodiments

This invention is directed to methods and compositions for disrupting the movement of ants, wasps, and/or termites to a specific locus. The methods are achieved by the use of farnesol related compounds, i.e., the compounds of formulas I and II. In a preferred

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embodiment, a compound of formula I or of formula II or a mixture of compounds of formula I and/or formula II is combined into a compatible matrix. However, prior to discussing this invention in further detail, the following terms will first be defined.

5     Definitions

As used herein, the following terms have the following meanings:

10     The terms "ant" and "wasp" refer to the ant and wasp members of the order Hymenoptera and include, by way of example only, the species *Iridomyrmex humilis*, *Solenopsis xyloni*, *Formica spp.* (ants), *Vespa vulgaris* (wasps) and the like.

   The term "termite" refers to the termite members of the order Isoptera and include, by way of example only, the species *Reticulitermes hesperus*, *Zootermopsis augusticollis*, *Kaloterms minor*, and the like.

15     The term "locus" refers to any site to which movement of ants, wasps, and/or termites is to be retarded. The particular site is not critical and includes, by way of example, the interior of a home, a particular area of land, trees (e.g., citrus trees), and the like.

20     For example, it is well known that ants are a serious pest of a number of agricultural crops. The deleterious action of the ants can be direct (i.e., leaf cutting ants) or indirect. The indirect deleterious action of ants occurs, for example, in that certain species tend homopterous honeydew-producing insects such as aphids, soft scales, and mealybugs. Through their transporting of these homopterous insects to new growth on the plants, and through their role in warding off potential parasites and

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predators, ants may create situations wherein the tended insects assume serious pest status, whereas in the absence of ants, the insects would often be regulated through the action of beneficial predatory and parasitic species. One particular agricultural crop wherein ants are a serious pest  
5 are citrus trees wherein the ants move from the ground up to the tree to the leaves where they tend homopterous honeydew-producing insects. Thus in this situation, the locus for preventing movement of the ants would be the tree itself.

In another example, the locus would be the interior of a house  
10 wherein movement of ants, wasps, and/or termites from the outside into the home's interior is desirably retarded.

In any event, the locus is a variable which is fixed solely by that site wherein retardation of ant movement is desired.

The term "compatible matrix" refers to any material in which one  
15 or more of the farnesol related compounds of formula I and formula II are either soluble or miscible, which materials do not significantly alter or degrade the repellent activity of these compounds to ants, wasps, and/or termites over a period of at least 7 days and preferably at least 28 days and more preferably at least 60 days.

20 Suitable compatible matrices are known in the art and include, by way of example, polymeric materials such as polyethylenes, polyvinyls including Tygon™, polyisoprene such as rubber, polypropylenes, copolymers of ethylene and propylene; polybutenes such as Stickem Special™ (a polybutene based composition commercially available from  
25 Seabright Enterprises, Emeryville, California, U.S.A.), polysaccharides

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such as cotton twine; Tanglefoot™ (a compatible matrix which is commercially available from The Tanglefoot Company, Grand Rapids, Michigan, U.S.A.), floor wax, and the like. Combinations of compatible matrices can be employed (i.e., cotton twine and Tanglefoot™). The  
5 particular compatible matrix employed with the farnesol related compound is not critical.

In one preferred embodiment, the compatible matrix is combined with the farnesol related compound of formula I or formula II into a volatile compatible solvent. The compatible solvent acts to solubilize the  
10 compatible matrix and the farnesol related compound. Upon application of this solution to its intended site, the volatile solvent evaporates leaving a matrix containing farnesol impregnated thereon. This embodiment permits the facile application of a film of matrix containing farnesol over a desired site.

15 Compatible solvents are those in which the farnesol related compound and the compatible matrix are soluble to at least 10 milligrams per milliliter of solvent and which do not significantly degrade the activity of the farnesol related compound. Volatile compatible solvents are those having a boiling point of 100°C or less at atmospheric pressure and  
20 include, by way of example, acetone, ethanol, methanol, isopropanol, saturated hydrocarbons such as hexane, cyclohexane, aromatic compounds such as xylene, toluene, etc., and the like.

#### Farnesol Related Compounds

The farnesol related compounds employed herein are preferably  
25 those depicted by formulas I and II above and include farnesol (i.e., in formula I, R, R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> are -CH<sub>3</sub>, and R<sub>4</sub> = -CH<sub>2</sub>OH), nerolidol



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(i.e., in formula II,  $R$ ,  $R_1$ ,  $R_2$  and  $R_3 = -CH_3$ , and  $R_5 = -OH$ ) and compounds related thereto including congeners of farnesol and nerolidol as well other geometric isomers. Farnesol and nerolidol are well known compounds which can be isolated from natural sources. Farnesol and  
5 nerolidol are also commercially available (e.g., Aldrich Chemical Company, Milwaukee, Wisconsin, U.S.A.). Moreover, the synthesis of these compounds is known in the art which includes a synthesis of farnesol disclosed by Corey et al.<sup>R</sup>

Similarly, the other compounds described in formula I and formula  
10 II above can be readily synthesized by well known techniques. For example, derivatives of farnesol and nerolidol wherein one or more of  $R$ ,  $R_1$ ,  $R_2$  and  $R_3$  groups are hydrogen or lower alkyl of from 1 to 3 carbon atoms but where  $R$ ,  $R_1$ ,  $R_2$ , and  $R_3$  are not all  $-CH_3$  can be readily prepared following art known synthetic procedures by merely substituting  
15 the appropriate starting materials in such syntheses.

Likewise, farnesol derivatives wherein  $R_4$  is  $-CH_2OC(O)R_6$  and nerolidol derivatives where  $R_5$  is  $-OC(O)R_6$  are readily prepared by reacting farnesol type compounds (i.e., compounds of formula I which terminate in a  $R_4$  group equal to  $-CH_2OH$ ) and nerolidol type compounds  
20 (i.e., compounds of formula II which contain an  $R_5$  group equal to  $-OH$ ) with a suitable carboxylic acid, acid halide, acid anhydride or activated ester under acylating conditions well known to the skilled artisan. For example, reaction of farnesol or nerolidol with acetyl chloride [ $CH_3C(O)Cl$ ] preferably in the presence of a tertiary amine which  
25 scavenges the acid generated during reaction leads to the corresponding ester (i.e.,  $R_4$  is  $-CH_2OC(O)CH_3$  in farnesol, and  $R_5$  is  $-OC(O)CH_3$  in nerolidol).

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The deoxy derivatives of farnesol (i.e.,  $R_4 = -CH_3$ ) and nerolidol (i.e.,  $R_5 = H$ ) and the halo derivatives thereof (i.e.,  $R_4 = -CH_2Cl$  and  $-CH_2Br$ , and  $R_5 = -Cl$  and  $-Br$ ) are also readily accomplished by art recognized procedures. For example, the preparation of the halo  
5 derivatives can be achieved by reaction of farnesol type compounds or nerolidol type compounds with thionyl chloride or thionyl bromide. The bromo derivative can be reduced to the deoxy derivative by reaction with, for example, lithium aluminum hydride.

Lastly, the preparation of carboxylic acid and ester derivatives of  
10 farnesol type compounds (i.e.,  $R_4 = -CO_2R_6$ ) is also accomplished by art recognized procedures starting with farnesol type compounds (i.e.,  $R_4 = -CH_2OH$ ). For example, the primary alcohol can be oxidized under mild conditions, for example, cold chromic acid followed by silver oxide oxidation of the aldehyde to provide for the acid (i.e.,  $R_4 = -CO_2H$ ),  
15 which optionally can then be esterified by art recognized procedures using a  $C_1$  to a  $C_3$  alcohol.

Farnesol and nerolidol are preferred compounds for use herein because they are produced in nature and are known to be comparably non-toxic.

## 20 Methodology

The methodology for using a farnesol related compound of formulas I or II as an ant and/or termite repellent is generally accomplished by placing a repellent effective amount of such a compound or a mixture of such compounds between the ants, wasps, and/or termites  
25 and the locus wherein one desires to retard movement of the ants, wasps, and/or termites.

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The method of application is not critical, and many well known methods can be used. For example, appropriate amounts of a farnesol related compound of formulas I or II can be dissolved into an appropriate compatible solvent and dispensed as a solution onto the intended locus.

- 5 Preferably, the solvent employed is a volatile solvent (i.e., has a boiling point of about 100°C or less) that will evaporate over a period of time. Alternatively, a farnesol related compound can be combined with an appropriate propellant and used as a spray for application onto the intended locus.

- 10 In another embodiment, a farnesol related compound is impregnated into a compatible matrix, and the matrix is then employed as a barrier layer between the ants, wasps, and/or termites and the locus from which one desires to retard ant, wasp, and/or termite movement therein. Impregnation of the farnesol related compound into the
- 15 compatible matrix can be achieved by any well known methods known in the art. For example, the farnesol related compound can be dissolved into a compatible volatile solvent and the resulting solution added to the matrix whereupon evaporation of the solvent results in impregnation of the farnesol related compound into the compatible matrix. In this regard,
- 20 the matrix can be cotton twine, polymers such as polyvinyls (such as Tygon™), polyisoprenes (such as rubber), polyethylene, polypropylene or copolymers thereof, polybutenes (such as Stickem™), Tanglefoot™, and the like. In another embodiment, a compatible matrix such as Tree Tanglefoot™ is thinned by heating, and then the farnesol related
- 25 compound is added directly thereto. The mixture can then be combined with twine or other compatible matrices. The resulting combination is then applied around the selected locus to form a barrier which retards movement of ants, wasps, and/or termites there past.

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One example of this mode of application is the incorporation of a farnesol related compound into a floor wax composition such as those which are readily commercially available. Upon application of the floor wax and removal of any volatile solvent, the resulting wax will contain  
5 farnesol. Another example of this mode of application is the impregnation of a farnesol related compound into a compatible matrix such as Tygon™, rubber, Stickem™, or Tanglefoot™. The resulting matrix is then banded around the locus from which ants, wasps, and/or termites are to be retarded (e.g., the trunk of a tree or the wall of a  
10 house).

Regardless of the method of application, the amount of the farnesol related compound used is an effective repellent amount. That is to say that sufficient amounts of the farnesol related compound or mixture of compounds are used so as to retard the movement of ants, wasps, and/or  
15 termites to the selected locus. In a preferred embodiment, the farnesol related compound is applied at a rate of at least about 0.05 milligrams per square foot (929 sq. cm) and more preferably at a rate of about 0.5 milligrams per square foot (929 sq. cm) to about 5 grams per square foot (929 sq. cm). In another embodiment, the application rate of the farnesol  
20 related compound is about 20 grams per acre (4046 sq. meters) or less. When used at this rate, the Environmental Protection Agency (EPA) registration for the use of this compound is simplified.

When employed to retard movement of ants (e.g., Argentine ants) into trees, the farnesol related compound of formulas I or II is preferably  
25 incorporated into Stickem™ which is then banded around tree trunks. About 0.008 to about 2 grams of a farnesol related compound is

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preferably employed per tree trunk having a diameter of from about 3 to about 5 centimeters.

In another embodiment, ants, wasps, and/or termites are removed from the locus prior to application of the farnesol related compound.

- 5 Removal can be achieved by conventional methods such as by using an insecticide. After removal, the farnesol related compound retards reinfestation of the locus by ants, wasps, and/or termites.

- In a preferred embodiment, effective retardation of ant, wasp, and/or termite movement is accomplished when movement into the locus  
10 is reduced by at least 50% and preferably by at least 80% and more preferably by at least 95% as compared to control.

- In addition to retarding movement of ants, wasps, and/or termites, the farnesol related compounds disclosed herein are effective in retarding the movement of other insects and arachnida such as spiders, fleas, ticks,  
15 etc. Accordingly, in another embodiment, a repellent effective amount of a farnesol related compound can be formulated into a matrix suitable for use in retarding flea infestation of pets.

The following examples are offered to illustrate this invention and should not be construed in any way as limiting its scope.

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EXAMPLESGeneral Procedures

The examples set forth below were conducted in a planting of young, non-fruit bearing lemon trees. The trees were about 2 meters  
5 high, and the stem diameter ranged from 3 to 5 centimeters at 10 centimeters above the soil surface. The trees were heavily infested with a variety of honeydew-producing Homoptera, and the Argentine ants made trails from their nests in the ground up the trunks to the vicinities of the insects they were tending.

10 A double layer of duct tape was wrapped around the trunks of the trees at about 10 centimeters above the soil surface. Candidate chemicals tested for disrupting ant trail following (i.e., retarding ant movement) were placed on specified lengths of cotton twine, Tygon™ or rubber  
15 tubing which were then wrapped two or three times around the tree trunk over the duct tape. The tape was intended to protect the tree trunk from possible phytotoxic effects of the tested chemicals. Under most circumstances, the twine or tubing was held in position by staples driven through the duct tape and into the tree trunk. An exception to this  
20 procedure occurred in the case of Stickem™ or Tree-Tanglefoot™ impregnated cotton twine, the ends of which were tucked under the loops of twine wrapped around the trunk.

Chemicals tested were obtained from commercial sources and are listed in Examples 1-5 below. When a given chemical was tested in conjunction with a matrix of sticky material such as Stickem Special™  
25 (commercially available from Seabright Enterprises, Emeryville, California, U.S.A.) or Tree Tanglefoot™ (commercially available from

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The Tanglefoot Company, Grand Rapids, Michigan, U.S.A.), the sticky material was first thinned through heating; the specified amount of sticky material was then mixed with the candidate chemical; finally, this sticky material-chemical mixture was placed in a glass jar containing a specified  
5 length of cotton garden twine (Puritan™ twine) and was continuously agitated until essentially all of the mixture was incorporated in and on the twine.

Efficacy of disruption of any trail following and foraging was evaluated on specified days following placement of the chemical-  
10 impregnated twine or tubing around tree trunks by counts of the number of ants walking across the twine or tubing during a 2-minute interval. Ants were counted without regard to whether they were proceeding up or down the trunk. Untreated control counts were made of ants crossing over a band of untreated twine around control tree trunks.

15

#### EXAMPLE 1

Following the general procedures set forth above, nineteen chemicals were tested for efficacy through inoculation of 1 gram of each onto 30 centimeter lengths of cotton twine which were then wrapped around the trunks of test trees. Each tree was regarded as a plot, and  
20 treatments were replicated three times in a randomized complete block design. Counts of ants passing over the test twine were made on the sixth day following application. The results of this test are set forth in Table I below:

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TABLE I

	Chemical	Mean no. <sup>1</sup> per 2 minutes of ants passing barrier on day 6
	farnesol	0.0 a
	methyl eugenol	0.6 ab
5	$\beta$ -citronellol	0.7 abc
	bornyl acetate	0.9 abc
	eugenol	1.7 bcd
	methyl myristate	2.1 cde
	citral	4.6 def
10	methyl salicylate	4.7 def
	safrole	5.4 efg
	limonene	5.7 efg
	linalool	6.1 fgh
	benzaldehyde	8.7 fgh
15	methyl anthranilate	10.0 fgh
	limonene oxide	10.1 fgh
	$\alpha$ -terpineol	11.5 fgh
	myrcene	11.5 fgh
	$\alpha$ -pinene	12.5 fgh
20	$\beta$ -pinene	13.5 gh
	3-carene	16.0 h
	Untreated	10.1 fgh

<sup>1</sup> Each treatment chemical was replicated three times in a randomized complete block design. Counts of ants crossing the barriers in 2 minutes were transformed to  $\ln(X + 1)$  for ANOVA. Duncan's Multiple Range Test was performed on transformed means. Means in the same column followed by a common letter are not significantly (5% probability) different. Means presented here are transformed back to the original scale.



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The results of Table I indicate that farnesol is an excellent repellent 6 days after placement of the barrier around the tree trunk.

### EXAMPLE 2

Following the procedures set forth above, testing was conducted to  
5 evaluate the efficacy of methyl eugenol incorporated into a 30 centimeter  
length of Tygon™ tubing of three different sizes or into a 30 centimeter  
length of one size of latex rubber tubing and of citral incorporated into  
only the rubber tubing. Approximately a 1:1 weight ratio of these  
chemicals to matrix was incorporated into the matrix by occasional  
10 agitation over a 24-hour period. The results of this test are set forth in  
Table II below:

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TABLE II

Chemical	Tubing	Mean no. <sup>1</sup> per 2 minutes ants passing barrier on day		
		2	6	9
methyl eugenol	Tygon <sup>2</sup>	2.4 ab	0.9 ab	2.4 abc
	Tygon <sup>3</sup>	0.6 a	0.7 ab	1.5 ab
	Tygon <sup>4</sup>	0.0 a	0.0 a	0.6 a
	Rubber <sup>5</sup>	0.2 a	0.0 a	1.0 a
citral	Rubber <sup>5</sup>	0.3 a	2.7 bc	8.2 bc
Untreated		4.6 b	7.4 c	18.8 c

5

<sup>1</sup> Each treatment chemical was replicated three times in a randomized complete block design. Counts of ants crossing the barriers in 2 minutes were transformed to  $\ln(X + 1)$  for ANOVA. Duncan's Multiple Range Test was performed on transformed means. Means in the same column followed by a common letter are not significantly (5% probability) different. Means presented here are transformed back to the original scale.

10

<sup>2</sup> 0.8 mm ID x 2.38 mm OD -- holds 2.1 g of chemical

<sup>3</sup> 1.59 mm ID x 4.76 mm OD -- holds 5.6 g of chemical

15 <sup>4</sup> 4.76 mm ID x 7.94 mm OD -- holds 13.2 g of chemical

<sup>5</sup> 6.35 mm ID x 7.94 mm OD -- holds 3.0 g of chemical

The above results evidence that methyl eugenol was more active in retarding the movement of Argentine ants than was citral. However, even at the heaviest application of methyl eugenol in Tygon™, at about 13 grams per tree, complete deterrence of ant movement was seen only for about one week.

20

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EXAMPLE 3

Some of the chemicals employed in Example 1 were mixed as either 10 or 40 weight percent solutions in Stickem™ which was then incorporated at the rate of 2 grams formulated material into 50 cm lengths of cotton twine. The treated lengths of twine were placed around tree trunks and evaluated as described above. The results of this evaluation are set forth in Table III below:

TABLE III

Chemical	Weight Percent	Mean no. <sup>1</sup> per 2 minutes ants passing barrier on day		
		3	7	14
farnesol	10	0.0 a	0.9 ab	9.9 ab
	40	0.0 a	0.0 a	2.4 a
$\beta$ -citronellol	10	5.7 b	9.0 bcd	9.0 ab
	40	0.0 a	2.7 abc	49.8 b
methyl eugenol	10	9.0 b	16.2 cd	13.3 ab
	40	0.6 a	4.2 abcd	7.4 a
citral	10	6.1 b	14.3 cd	26.5 ab
	40	1.6 ab	28.2 d	30.2 ab
Stickem™ alone		3.2 ab	5.5 bcd	12.4 ab
Untreated		12.5 b	18.8 cd	21.6 ab

<sup>1</sup> Each treatment chemical was replicated three times in a randomized complete block design. Counts of ants crossing the barriers in 2 minutes were transformed to  $\ln(X + 1)$  for ANOVA. Duncan's Multiple Range Test was performed on transformed means. Means in the same column followed by a common letter are not significantly (5% probability) different. Means presented here are transformed back to the original scale.

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The above results evidence that complete retardation of ant foraging for seven days after application was accomplished with 40 weight percent farnesol treatment (giving 0.8 g farnesol per tree). By three days after application and continuing through 14 days, farnesol exhibits the best prolonged repellency activity of the compounds tested.

#### EXAMPLE 4

Farnesol and Stickem<sup>TM</sup> were tested at higher application rates following the general procedure set forth above. In this example, farnesol and a 1:1 mixture of farnesol:Stickem<sup>TM</sup> mixture were prepared as above and then placed onto 50-cm lengths of cotton twine and wrapped around tree trunks in the manner described above. Also tested were 30 centimeter lengths of rubber tubing, each length containing 3 grams of farnesol. The results of this test are set forth in Table IV below:

TABLE IV

Composition Applied	Mean no. <sup>1</sup> per 2 minutes ants passing barrier on day					
	7	14	27	48	77	122
A	0.0 a	0.6 a	4.9 a	0.0 a	0.6 a	4.9 a
B	0.0 a	0.0 a	0.0 a	0.0 a	0.0 a	0.0 a
C	6.0 b	1.3 a	1.5 a	6.0 b	1.3 a	1.5 a
D	21.9 b	23.0 b	52.2 b	21.9 b	23.0 b	52.2 b

<sup>1</sup> Each treatment chemical was replicated three times in a randomized complete block design. Counts of ants crossing the barriers in 2 minutes were transformed to  $\ln(X + 1)$  for ANOVA. Duncan's Multiple Range Test was performed on transformed means. Means in the same column followed by a common letter are not significantly (5% probability) different. Means presented here are transformed back to the original scale.

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In this Table, the composition applied is as follows:

- A = 50 cm of twine containing 2 grams of farnesol
- B = 50 cm of twine containing 2 grams of Stickem™ + 2 grams of farnesol
- 5 C = 30 cm of latex rubber tubing (6.35 mm ID x 7.94 mm OD) containing 2 grams of farnesol
- D = Untreated

The results of this test indicate that farnesol alone, at 2 grams per twine per tree, provided effective (over 90% as compared to control) retardation of movement for about 4 weeks; rubber tubing containing 3 grams of farnesol per tree provided effective retardation of movement for about 7 weeks; and 2 grams of farnesol in 2 grams of Stickem™ provided effective retardation for at least 17 weeks.

#### EXAMPLE 5

- 15 Following the general procedure set forth above, additional chemicals were tested as mixtures of Stickem™ (2 grams of chemical in 2 grams of Stickem™). These were compared for efficacy with a farnesol/Stickem™ mixture. Also tested were a farnesol/Tree Tanglefoot™ mixture, Tree Tanglefoot™ alone, and Stickem™ alone.
- 20 The results of these tests are set forth in Table V below:

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TABLE V

	Composition Applied	Mean no. <sup>1</sup> per 2 minutes ants passing barrier on day				
		7	14	21	50	95
5	A	1.4 ab	4.3 ab	4.1 ab		
	B	26.0 c	35.6 b	65.3 b		
	C	1.8 ab	8.7 ab	4.8 ab		
	D	1.3 a	14.8 b	11.5 b		
	E	1.4 ab	13.0 b	9.8 b		
10	F	0.0 a	0.0 a	0.0 a	0.0 a	0.0 a
	G	0.0 a	0.0 a	0.0 a	0.5 a	35.5 a
	H	17.5 c	11.6 b	22.9 b	52.7 b	48.7 a
	I	1.34 bc	24.5 b	26.1 b	56.5 b	155.8 b
	J	27.3 c	33.8 b	33.8 b	58.3 b	135.6 b

<sup>1</sup> Each treatment chemical was replicated five times in a randomized complete block design. Counts of ants crossing the barriers in 2 minutes were transformed to  $\ln(X + 1)$  for ANOVA. Duncan's Multiple Range Test was performed on transformed means. Means in the same column followed by a common letter are not significantly (5% probability) different. Means presented here are transformed back to the original scale.

In this Table, the composition applied is as follows:

- A = Stickem™ + 3,6-dimethyl-4-octyne-3,6-diol
- B = Stickem™ + abietic acid
- C = Stickem™ + nerol
- 25 D = Stickem™ + linalyl acetate
- E = Stickem™ + citral dimethyl acetate
- F = Stickem™ + farnesol
- G = Tree Tanglefoot™ + farnesol

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H = Stickem™ alone

I = Tree Tanglefoot™ alone

J = Untreated

5       The above results evidence that there is no significant difference  
between Stickem™ and Tree Tanglefoot™ alone in disrupting ant  
movement. Both materials were penetrated almost immediately (within 7  
days) by significant numbers of ants. However, farnesol mixed with Tree  
Tanglefoot™ gave effective disruption of ant movement for 7 weeks, and  
farnesol mixed with Stickem™ gave effective retardation of ant movement  
10      for about 14 weeks.

#### EXAMPLE 6

A laboratory bioassay was conducted to compare the repellency of  
nerolidol to farnesol in repelling the Argentine ant. This bioassay was  
conducted by measuring the number of ants crossing a barrier having  
15      nerolidol or farnisol impregnated into beeswax. Specifically, the beeswax  
was heated to liquid, the appropriate amount of compound was added, and  
the solution was stirred. The resulting liquid composition was streaked  
into a circle around a food source (sucrose/water), and the number of ants  
passing the circle per unit time was measured and then compared to the  
20      untreated control (beeswax alone). The difference in the number of ants  
passing past the control and the test composition divided by the control  
and multiplied by 100 was used as a measure of percent repellency. The  
results are set forth in Table VI below:

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TABLE VI

Weight % of Compound		% Repellency	
1.0%	farnesol	no decrease	(average of 5 runs)
3.0%	farnesol	98%	(average of 5 runs)
0.03%	nerolidol	63%	(average of 4 runs)
0.10%	nerolidol	73%	(average of 4 runs)
0.30%	nerolidol	93%	(average of 4 runs)
1.0%	nerolidol	100%	(average of 4 runs)
3.0%	nerolidol	100%	(average of 4 runs)

10           The above results evidence that farnesol and nerolidol (a farnesol  
related compound) are both effective in retarding the movement of ants.  
These results also evidence that nerolidol is significantly more active than  
farnesol in retarding the movement of ants. For example, the levels of  
retardation arising from the use of 0.3 weight percent nerolidol were  
15   approximately equivalent to those achieved by using 3 weight percent  
farnesol.

#### EXAMPLE 7

A series of experiments was conducted in Ventura County,  
California, U.S.A. in a planting of young non-fruit bearing lemon trees.  
20   The trees were about 2 meters high, and trunk diameter ranged from 4 to  
6 centimeters at 10 centimeters above the soil surface. The trees were  
infested with honeydew-producing *Homoptera*, and the ants made trails  
from their nests in the ground up the trunks to the vicinity of the insects  
they were tending.



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All experiments were set up as randomized complete block designs with individual lemon trees serving as plots. Test chemicals were formulated into bands which were applied around tree trunks at 10-20 centimeters above the soil surface. To guard against possible

5    phytotoxicity of the test chemicals or formulating ingredients, a double wrap of duct tape (about 5 centimeters wide) or Saran™ tape (about 10 centimeters wide) was placed around the tree trunks before test formulations were applied. The Saran™ tape was used only in those experiments whose results are presented in Tables VII and VIII (below).

10   The use of Saran™ tape was discontinued when it was discovered that a sooty mold developed in the zone between the tape and the lemon tree trunks.

The test chemicals, farnesol and nerolidol, were obtained from Fermone, Inc., Phoenix, Arizona, U.S.A. The test chemicals, (E,E)-

15   farnesol and methyl eugenol, were obtained from Aldrich Chemical Company, Milwaukee, Wisconsin, U.S.A. In this regard, unless specified as (E,E)-farnesol, farnesol and related compounds include geometric isomers, e.g., mixtures of all possible E and Z derivatives.

When a test chemical was tested in conjunction with Stickem™, the

20   carrier was first thinned through heating; the specified amount of Stickem™ was then mixed with the chemical. In most tests, the Stickem™-chemical mixture was placed in a glass jar containing measured lengths of cotton twine and was continuously agitated until essentially all the mixture was incorporated in or on the twine.

25   Another formulation, termed "ant tape", was prepared in the following way. One to three strands of cotton twine, permeated with the

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test chemical alone or mixed with a carrier, were laid on top of a strip of approximately 5 centimeter wide clear plastic sticky tape (Tuck Package Sealing, Tesa Tuck, Inc., New Rochelle, New York, U.S.A.). The twine was then covered with an approximately 2 centimeter wide strip of wax paper which was held in place by two additional strips of clear plastic sticky tape, thus fastening the sides of the wax paper strip to the underlying sticky tape. The resulting ant tape, with the repellent-treated twine sandwiched between a permeable strip of wax paper above and a less permeable plastic tape below, was wrapped as a barrier around the trunks of experimental trees.

Efficacy of disruption of ant foraging on experimental trees was evaluated on specified days following the placement of bands around tree trunks by counts of the numbers of ants walking across the barriers during a 1-minute interval. Ants were counted without regard to whether they were proceeding up or down the trunk. Ant activity on untreated control tree trunks was determined in a similar manner.

### Results

Bands consisting of single strands of cotton twine permeated with specified amounts of farnesol and Stickem<sup>TM</sup> were wrapped around tree trunks as described above. The amount of farnesol employed ranged from 40, 20, 10, 5, and 0 mg/cm of twine barrier wherein each of the formulations employed a constant amount of 40 mg/cm of Stickem<sup>TM</sup>. Counts of ants crossing the experimental barriers are set forth in Table VII below:

TABLE VII

Evaluation of farnesol plus Stickem™ incorporated into cotton twine barriers<sup>a</sup> and applied once or weekly to disrupt foraging of Argentine ants on trunks of citrus trees.

Amount (mg)/ cm of barrier		Application frequency	Mean no. ants/min passing barrier on indicated day						
Farnesol	Stickem™		14	22	28	35	41	49	73
40	40	once	0.0a	0.0a	0.0a	0.0a	0.0a	1.6a	26.6a
20	40	once	0.0a	1.2ab	0.0a	1.6ab	2.3ab	1.8a	24.9a
10	40	once	5.6ab	13.1bc	6.5ab	39.0c	18.8bc	45.9b	
5	40	once	2.8ab	13.8bc	2.8a	17.2bc	20.6bc	25.9ab	
5	40	weekly	0.0a	3.3abc	0.0a	0.0a	3.8abc	1.2a	
0	40	once	6.2ab	10.5bc	30.0b	46.5bc	44.5c	51.7b	
0	0	untreated	20.5b	22.7c	28.1b	26.5bc	29.9bc	40.4b	89.9a

<sup>a</sup> Barriers consisted of single strands of cotton twine placed over Saran™ tape and wrapped around tree trunks at approximately 20 cm above the soil surface.

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In Table VII, each test chemical was replicated four times in a randomized complete block design. Counts of ants crossing the barriers were transformed to  $\ln(X + 1)$  for ANOVA. This transformation was considered desirable because visual inspection of the original data showed  
5 that treatment ranges were obviously proportional to treatment means. Duncan's Multiple Range Test was performed on transformed means. Means in the same column followed by a common letter are not significantly (5% probability) different. Means presented here are transformed back to the original scale.

10 The results of Table VII show that at greater applied amounts of farnesol, 20 and 40 mg/cm of twine, efficacious disruption of ant foraging in the trees was maintained for longer than about 1 month. These farnesol amounts represent 33% and 50%, respectively, concentrations of farnesol in Stickem™. The lowest farnesol amount, 5 mg/cm of twine  
15 (11% concentration of farnesol in Stickem™), gave disruption for 49 days, equivalent to the one-time applications of 20 and 40 mg if it was reapplied weekly, with all earlier applications left in place.

Farnesol at 40 and 10 mg/cm of single-strand twine barrier was compared with its isomer, (E,E)-farnesol, at 10 mg/cm. With each test  
20 chemical, the twine was also permeated with 40 mg Stickem™ per centimeter. The 40 and 10 mg repellent-chemical levels represented 50% and 20% concentrations, respectively, in Stickem™. The results are illustrated in Table VIII below:

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TABLE VIII

Evaluation of two different isomeric preparations of farnesol incorporated with Stickem™ into cotton twine barriers<sup>a</sup> to disrupt foraging of Argentine ants on trunks of citrus trees.

Amount (mg)/cm of barrier			Mean no. ants/min crossing string on indicated day				
Chemical	Chemical	Stickem™	6	14	23	37	
farnesol, (E,E)	10	40	0.0a	0.0a	6.6a	21.9b	
farnesol	10	40	0.0a	1.2a	1.2a	27.5b	
farnesol	40	40	0.0a	0.0a	0.0a	0.0a	
Untreated	0	0	71.0b	96.3b	139.9b	77.6b	

<sup>a</sup> Barriers consisted of single strands of cotton twine placed over Saran™ tape and wrapped around tree trunks at approximately 20 cm above the soil surface.

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In Table VIII, each test chemical was replicated four times in a randomized complete block design. Counts of ants crossing the barriers were transformed to  $\ln(X + 1)$  for ANOVA. This transformation was considered desirable because visual inspection of the original data showed  
5 that treatment ranges were obviously proportional to treatment means. Duncan's Multiple Range Test was performed on transformed means. Means in the same column followed by a common letter are not significantly (5% probability) different. Means presented here are transformed back to the original scale.

10 The results of the assessment of ant crossings of the experimental barriers as illustrated in Table VIII indicate that the disruptive effect and duration of effectiveness of (E,E)-farnesol are similar to those of "natural" farnesol (i.e., an isomeric mixture). Data presented in Tables VII and VIII show that the 20% formulations of farnesol had decreased in  
15 activity by 1 month after application, although the 50% formulations were still giving complete suppression of foraging.

An additional test of test chemicals at 40 and 10 mg/cm of single-strand twine barrier compared the activity of farnesol and the farnesol isomer, nerolidol, and the results are illustrated in Table IX below. Both  
20 chemicals were mixed in Stickem™, giving repellent concentrations of 50% and 20%, respectively.

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TABLE IX

Evaluation of farnesol or nerolidol incorporated with Stickem™ into cotton twine barriers<sup>a</sup> to disrupt foraging of Argentine ants on trunks of citrus trees.

Amount (mg)/cm of barrier		Mean no. ants/min passing barrier on indicated day						
Chemical	Chemical	Stickem™	9	28	49	72	93	125
farnesol	40	40	0.0a	0.0a	0.0a	11.5ab	11.6ab	9.2a
farnesol	10	40	0.0a	0.0a	0.0a	3.2a	4.6a	20.6a
nerolidol	40	40	0.0a	0.0a	0.0a	20.0b	1.8a	17.4a
nerolidol	10	40	1.4b	5.9b	6.2b	43.4b	15.0ab	21.3a
Untreated	0	0	87.0c	64.3	77.5c	34.3b	46.6b	21.4a

<sup>a</sup> Barriers consisted of single strands of cotton twine placed over duct tape and wrapped around tree trunks at approximately 20 cm above the soil surface.

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In Table IX, each test chemical was replicated four times in a randomized complete block design. Counts of ants crossing the barriers were transformed to  $\ln(X + 1)$  for ANOVA. This transformation was considered desirable because visual inspection of the original data showed  
5 that treatment ranges were obviously proportional to treatment means. Duncan's Multiple Range Test was performed on transformed means. Means in the same column followed by a common letter are not significantly (5% probability) different. Means presented here are transformed back to the original scale.

10 The results illustrated in Table IX above indicate that at the 20% formulation level, nerolidol was significantly poorer than farnesol in providing ant exclusion from citrus trees. The distinction between 20% and 50% farnesol was not as clear as seen earlier (Tables VII and VIII), both concentrations maintaining complete exclusion of ants for more than  
15 49 days (Table IX).

The carriers, petroleum jelly, lanolin, and Stickem™, were permeated at 40 mg/cm into single strand cotton twine barriers. These carriers were tested both with and without mixture with 40 mg/cm (= 50% formulation) of farnesol.

20 Also tested was an ant tape (described above) using 40 mg farnesol plus 40 mg Stickem™ per cm of single strand of twine. Evaluation of ant foraging is illustrated in Table X below:



TABLE X

Evaluation of farnesol incorporated with various carriers into cotton twine and covered or not covered with wax paper to form barriers<sup>a</sup> to disrupt foraging of Argentine ants on trunks of citrus trees.

Carrier	Amount/50 cm string		Covered with wax paper	Mean no. ants/min passing barrier on indicated day				
	Farnesol	Carrier		7	15	35	68	92
petroleum jelly	0	40	No	15.2b	22.1bc	6.7bc	11.6b	
petroleum jelly	40	40	No	0.0a	2.4ab	4.6b	11.0b	
lanolin	0	40	No	67.7c	58.2c	8.5bcd	22.7b	
lanolin	40	40	No	0.0a	3.9ab	14.2bcd	6.9b	
Stickem™	0	40	No	10.8b	47.3c	37.8cd	21.2b	13.9a
Stickem™	40	40	No	0.0a	0.0a	8.7bcd	5.2ab	8.3a
Stickem™	40	40	Yes	0.0a	0.0a	0.0a	0.0a	2.1a
Untreated	0	0	No	70.3c	84.8c	58.0d	21.9b	23.2a

<sup>a</sup> Barriers consisted of single strands of cotton twine placed over duct tape and wrapped around tree trunks at approximately 20 cm above the soil surface. An exception was the ant tape treatment in which farnesol + Stickem™-impregnated twine was placed on the non-sticky side of plastic sticky tape and covered with a strip of wax paper. The sticky side of the plastic tape was used to attach the ant tape barrier around the tree trunk.

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In Table X, each test chemical was replicated four times in randomized complete block design. Counts of ants crossing the barriers were transformed to  $\ln(X + 1)$  for ANOVA. This transformation was considered desirable because visual inspection of the original data showed that treatment ranges were obviously proportional to treatment means. Duncan's Multiple Range Test was performed on transformed means. Means in the same column followed by a common letter are not significantly (5% probability) different. Means presented here are transformed back to the original scale.

The results of Table X illustrate that the three carriers, when presented on the barriers alone, did not provide adequate suppression of ant crossing 7 days after treatment. With the addition of farnesol, all carriers gave effective disruption of foraging for about 35 days following treatment. On the other hand, the ant tape provided complete disruption of foraging for more than 68 days following treatment. When evaluated on the 35th day following treatment, the ant tape gave significantly better disruption than did the equivalent barrier without wax paper cover (40 mg. Stickem<sup>TM</sup> plus 40 mg. farnesol impregnated on a cotton twine barrier).

Ant tapes (described above) were evaluated in an experiment designed also to differentiate between the disruptive efficacies of farnesol and methyl eugenol. Each test chemical was used at 40 mg/cm of single strand of twine tape. Each was evaluated alone and mixed with an equal amount of Stickem<sup>TM</sup>. All barriers of treated twine were covered with strips of wax paper. The results of the evaluation are illustrated in Table XI below:

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TABLE XI

Evaluation of "ant tapes" charged with various combinations of repellent chemicals and Stickem™ as barriers<sup>a</sup> to disrupt foraging of Argentine ants on the trunks of citrus trees.

Chemical	Amt (mg)/cm of barrier		Mean no. ants/min passing barrier on indicated day			
	Chemical	Stickem™	9	33	57	104
farnesol	40	40	0.0a	0.0a	0.9a	25.4b
farnesol	40	0	0.0a	0.7ab	1.1a	12.4ab
methyl eugenol	40	40	0.3a	7.4bc	8.0b	55.7b
methyl eugenol	40	0	0.0a	2.0ab	4.7ab	2.6a
Untreated	0	0	11.6b	22.4c	12.5b	39.5b

<sup>a</sup> A single strand of impregnated twine laid on plastic sticky tape was covered with a strip of wax paper as described in Table X.

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- In Table XI, each test chemical was replicated four times in a randomized complete block design. Counts of ants crossing the barriers were transformed to  $\ln(X + 1)$  for ANOVA. This transformation was considered desirable because visual inspection of the original data showed
- 5 that treatment ranges were obviously proportional to treatment means. Duncan's Multiple Range Test was performed on transformed means. Means in the same column followed by a common letter are not significantly (5% probability) different. Means presented here are transformed back to the original scale.
- 10 The results of Table XI indicate that ant tapes containing farnesol were equally effective for disrupting foraging, whether Stickem™ was present as a carrier or not; either ant tape preparation provided effective ant exclusion for about 57 days. The methyl eugenol data indicate a
- 15 similar result -- the Stickem™ is not necessary as a component of the ant tape formulation; methyl eugenol duration of effectiveness was not as great as was that of farnesol. The seeming renewed effectiveness of the methyl eugenol without Stickem™ ant tape formulation 104 days following application is not consistent with the rest of the data and may be an anomaly.
- 20 Single-strand ant tapes charged with 40 mg farnesol plus 40 mg. Stickem™ per cm were evaluated further. In this test, the ant tape did not provide as effective disruption as did a single strand of twine treated with the same blend but not covered with wax paper. The experiment was conducted during a time of heavy rain in the experimental area.
- 25 Visual observation showed that the wax paper covering the ant tapes became stiffened and tended to curl up under these conditions, possibly

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reducing its effectiveness in conveying the farnesol to the exterior of the tape. The results of this experiment are illustrated in Table XII below:

TABLE XII

Evaluation of farnesol, Stickem™, and wax paper as components of barriers<sup>a</sup> to disrupt foraging of Argentine ants on trunks of citrus trees.

Amount (mg)/cm of barrier		Covered with wax paper	Mean no. ants/min passing barrier on indicated day	
Farnesol	Stickem™		26	47
0	40	No	1.2 a	32.6 b
40	40	No	0.0 a	1.9 a
40	40	Yes	0.0 a	29.8 b
0	0	No	9.5 b	66.0 b

<sup>a</sup> Barriers consisted of single strands of cotton twine placed over duct tape and wrapped around tree trunks at approximately 20 cm above the soil surface. An exception was the treatment in which the farnesol plus Stickem™-impregnated twine was placed on plastic sticky tape and covered with a strip of wax paper, as described in Table X.

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In Table XII, each test chemical was replicated four times in a randomized complete block design. Counts of ants crossing the barriers were transformed to  $\ln(X + 1)$  for ANOVA. This transformation was considered desirable because visual inspection of the original data showed that treatment ranges were obviously proportional to treatment means. Duncan's Multiple Range Test was performed on transformed means. Means in the same column followed by a common letter are not significantly (5%) different. Means presented here are transformed back to the original scale.

Farnesol and nerolidol were formulated in various combinations of the following variables: (1) two different rates of application (40 or 13.3 mg/cm of twine), (2) with or without 40 mg Stickem<sup>TM</sup> per centimeter of twine, (3) with either 1 or 3 strands of twine constituting the barrier, and (4) either covered with a strip of wax paper (forming ant tape) or not covered. With the three strands of twine formulations, amounts of farnesol and Stickem<sup>TM</sup> as high as 120 mg/cm of barrier were produced. The results of this analysis are set forth in Table XIII below.

A number of general results are seen in the data set forth in Table XIII. With all other factors held equal, three strands of twine, each impregnated with 40 mg/cm of farnesol and of Stickem<sup>TM</sup>, are not more effective than one similarly treated strand. This equivalency of one and three strands at the high permeation levels was seen also when the strands were overlaid with wax paper, thus forming ant tape. When placed under wax paper, three strands, each treated with 13.3 mg farnesol/cm, were inferior to one strand treated with 40 mg/strand, even though the resulting amount of farnesol/cm of ant tape was the same. On the other hand, without overlying wax paper, three strands, each treated with 13.3

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mg/cm, were equivalent to one strand treated with 40 mg/cm. Also, ant  
tapes made from either one or three strands of twine and charged with  
high levels of farnesol (40 mg/cm of twine) were as active for as long a  
period of time as bands made from the same numbers of strands and not  
5 covered with wax paper; however, at the low (13.3 mg/cm) treatment  
rate, ant tapes were inferior to the equivalent non-covered strands.



TABLE XIII  
Evaluation of farnesol and nerolidol formulated in various ways as barriers<sup>1</sup> to disrupt foraging of Argentine ants on the trunks of citrus trees.

Evaluation of barrier and barrier normalized to barrier										
No. strands of twine in barrier	Covered with wax paper	Amt (mg)/cm of twine		Amt (mg)/cm of barrier		Mean no. ants/min. passing on indicated day				
		A <sup>2</sup>	B <sup>3</sup>	A <sup>2</sup>	B <sup>3</sup>	10	21	35	60	77
1	No	40	40	40	40	0.0 a	0.0 a	0.7 ab	0.4 a	4.5 ab
1	Yes	40	0	40	0	0.0 a	19.1 bc	41.3 ef	31.5 b	44.7 bc
1	Yes	40	40	40	40	0.2 a	0.6 a	2.9 abc	2.5 ab	11.6 abc
3	No	40	40	120	120	0.0 a	0.0 a	0.0 a	0.7 a	2.0 a
3	Yes	40	0	120	0	0.0 a	1.0 a	1.7 ab	3.8 ab	22.9 abc
3	Yes	40	40	120	120	0.0 a	0.0 a	0.0 a	3.0 ab	11.0 abc
3	No	13.3	40	40	120	0.0 a	0.5 a	1.8 abc	0.6 a	5.3 ab
3	Yes	13.3	0	40	0	99.6 b	22.9 bc	9.6 cde	11.7 ab	8.4 abc
3	Yes	13.3	40	40	120	54.0 b	18.4 bc	51.0 f	27.3 b	50.7 bc
1	Yes	40	0	40	0	0.8 a	7.4 abc	21.1 def	2.2 ab	44.1 bc
3	Yes	40	0	120	0	0.5 a	3.4 ab	6.1 bcd	8.6 ab	66.0 c
3	Yes	13.3	0	40	0	32.7 b	14.0 bc	28.6 def	14.1 ab	33.1 bc
0	No	0	0	0	0	41.3 b	56.0 c	18.2 def	15.8 ab	30.3 abc

<sup>1</sup> Barriers consisting of one or three strands of cotton twine were prepared as described in Table X.

<sup>2</sup> In first 9 examples, A = farnesol; in the next three examples, A = nerolidol; the last example was a control so A = untreated.

<sup>3</sup> B = Stickem™.

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In Table XIII, each test chemical was replicated four times in a randomized complete block design. Counts of ants crossing the barriers were transformed to  $\ln(X + 1)$  for ANOVA. This transformation was considered desirable because visual inspection of the original data showed  
5 that treatment ranges were obviously proportional to treatment means. Duncan's Multiple Range Test was performed on transformed means. Means in the same column followed by a common letter are not significantly (5% probability) different. Means presented here are transformed back to the original scale.

10 Contrary to an earlier finding (Table XI), the mixture of farnesol with Stickem™ in the ant tapes presented in Table XIII tended to give better suppression of crossing of ants than did tapes that were formulated with the same amounts of farnesol without Stickem™.

15 When formulated into ant tapes without Stickem™, the farnesol isomer, nerolidol, tended to be less active and to possess less residual activity than did farnesol (Table XIII).

An effort was made to formulate a farnesol paste for banding tree trunks. After preliminary testing of various materials that could be mixed with 50% farnesol and maintain a paste-like consistency under field  
20 situations, a 50:30:20 blend of farnesol:Stickem™:beeswax was adopted. The beeswax was heated with Stickem™ until it achieved a sufficiently liquid consistency to allow incorporation of farnesol. The formulated material was loaded into a caulking gun which was used to apply the paste in an approximate 2 cm wide band around the trunks of experimental  
25 citrus trees. The paste was applied to approximately 160 mg/cm of barrier, giving 80 mg farnesol/cm. This paste formulation was compared

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in ant-suppressive activity with a two-strand band of cotton twine treated with farnesol plus Stickem™ at 80 mg of each material/cm of barrier. Only one count of ants was made, at 11 days following application. The results of this evaluation are set forth in Table XIV below.

TABLE XIV

Evaluation of a 50:50 farnesol:Stickem™ mix incorporated into two strands of cotton twine vs. a 50:30:20 farnesol:Stickem™:beeswax paste as barriers<sup>a</sup> to disrupt foraging of Argentine ants on the trunks of citrus trees.

Barrier formulation	Amount (mg) farnesol/ cm of barrier	Mean no. ants/min passing barrier after 11 days
twine	80	0.0a
paste	80	18.6b
Untreated	0	25.8b

<sup>a</sup> Two-strand twine barriers were placed over duct tape and wrapped around tree trunks at approximately 20 cm above the soil surface. Paste barriers were loaded into a caulking tube and applied in 2 cm wide bands around tree trunks with the aid of a caulking gun.

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In Table XIV, each test chemical was replicated four times in a randomized complete block design. Counts of ants crossing the barriers were transformed to  $\ln(X + 1)$  for ANOVA. This transformation was considered desirable because visual inspection of the original data showed that treatment ranges were obviously proportional to treatment means. Duncan's Multiple Range Test was performed on transformed means. Means in the same column followed by a common letter are not significantly (5% probability) different. Means presented here are transformed back to the original scale.

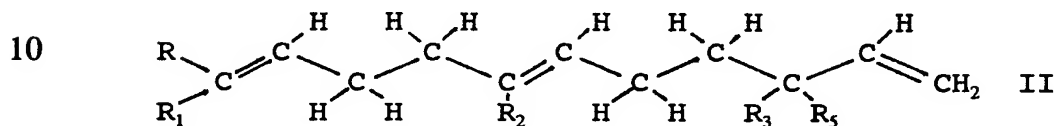
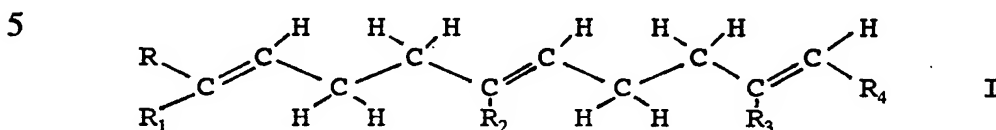
Evidence indicates that farnesol is detected by Argentine ants mostly through contact with the feet, presumably through the gustatory sense, whereas methyl eugenol is detected, at least in part, at a distance from the treated source, presumably through olfaction. Accordingly, it is contemplated that mixtures of such chemicals (e.g., nerolidol/methyl eugenol, farnesol/methyl eugenol and the like) may be used to enhance repellency.

Other farnesol related compounds could be substituted for either farnesol or nerolidol in the above examples including, by way of example, farnesol derivatives such as wherein  $R$ ,  $R_1$ ,  $R_2$  and  $R_3$  are methyl and  $R_4$  is  $-\text{CH}_2\text{OC}(\text{O})\text{R}_6$ ,  $-\text{CH}_2\text{Cl}$ ,  $-\text{CH}_2\text{Br}$ , and  $-\text{CO}_2\text{H}$ ; and nerolidol derivatives wherein  $R$ ,  $R_1$ ,  $R_2$  and  $R_3$  are methyl and  $R_5$  is  $-\text{OC}(\text{O})\text{R}_6$ ,  $-\text{Cl}$ ,  $-\text{Br}$ . Similarly, other compatible matrices could be substituted for Stickem<sup>TM</sup>, Tree Tanglefoot<sup>TM</sup>, cotton twine, and beeswax in the above examples. It is evident from inspection of the data that farnesol plus Stickem<sup>TM</sup> on twine gave complete suppression, while the band of paste provided no suppression of ant foraging.

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WHAT IS CLAIMED IS:

1. A method for retarding ants, wasps, and/or termites from moving to a specific locus which comprises applying a repellent effective amount of a compound of formula I or of formula II:



between the ants, wasps, and/or termites and the locus

15 wherein R, R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are independently selected from the group consisting of hydrogen and lower alkyl of 1 to 3 carbon atoms;

R<sub>4</sub> is selected from the group consisting of -CH<sub>3</sub>, -CH<sub>2</sub>OH, -CH<sub>2</sub>Cl, -CH<sub>2</sub>Br, -CH<sub>2</sub>OC(O)R<sub>6</sub>, and -COOR<sub>7</sub> wherein R<sub>6</sub> is hydrogen or alkyl of from 1 to 4 carbon atoms and R<sub>7</sub> is hydrogen or alkyl of from 1 to 4 carbon atoms; and

20 R<sub>5</sub> is selected from the group consisting of hydrogen, hydroxyl, chloro, bromo, and -OC(O)R<sub>6</sub> wherein R<sub>6</sub> is hydrogen or alkyl of from 1 to 4 carbon atoms.

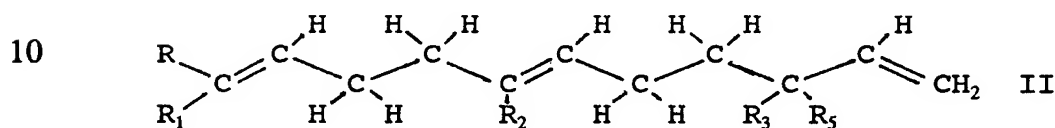
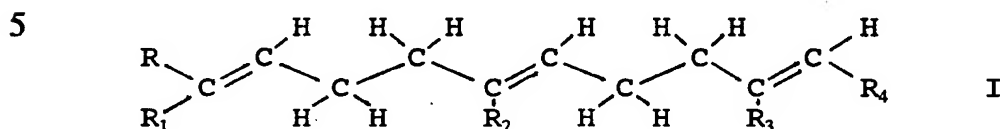
2. The method according to Claim 1, wherein a compound of formula I is employed.

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3. The method according to Claim 1, wherein a compound of formula II is employed.
4. The method according to Claim 2, wherein R, R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> are methyl.
- 5 5. The method according to Claim 4, wherein R<sub>4</sub> is -CH<sub>2</sub>OH.
6. The method according to Claim 3, wherein R, R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> are methyl.
7. The method according to Claim 6, wherein R<sub>5</sub> is -OH.
8. The method according to Claim 1, wherein the movement of  
10 ants is retarded.
9. The method according to Claim 8, wherein the ants are Argentine army ants.
10. The method according to Claim 1 wherein the locus is selected from the group consisting of the interior of a home and trees.
- 15 11. A method for retarding ants, wasps and/or termites from moving to a locus selected from the group consisting of the interior of homes or into trees which comprises applying a repellent effective amount of farnesol or nerolidol between the ants, wasps, and/or termites and the locus.

12. A method for the prolonged retardation of ant, wasp and/or termite movement to a specific locus which comprises the steps of:

(a) impregnating a compatible matrix with a repellent effective amount of a compound of formula I or of formula II:



wherein R, R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are independently selected from the group consisting of hydrogen and lower alkyl of 1 to 3 carbon atoms;

15  $R_4$  is selected from the group consisting of  $-CH_3$ ,  $-CH_2OH$ ,  $-CH_2Cl$ ,  $-CH_2Br$ ,  $-CH_2OC(O)R_6$ , and  $-COOR_7$  wherein  $R_6$  is hydrogen or alkyl of from 1 to 4 carbon atoms and  $R_7$  is hydrogen or alkyl of from 1 to 4 carbon atoms; and

20  $R_5$  is selected from the group consisting of hydrogen, hydroxyl, chloro, bromo, and  $-OC(O)R_6$  wherein  $R_6$  is hydrogen or alkyl of from 1 to 4 carbon atoms;

(b) positioning the compatible matrix formed in step (a) above between said locus and said ants, wasps, and/or termites; and

(c) retaining said matrix in said position for a period of at least 28  
25 days.

13. The method according to Claim 12, wherein a compound of formula I is employed.



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14. The method according to Claim 12, wherein a compound of formula II is employed.

15. The method according to Claim 12, wherein R, R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> are methyl.

5 16. The method according to Claim 15, wherein R<sub>4</sub> is -CH<sub>2</sub>OH.

17. The method according to Claim 14, wherein R, R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> are methyl.

18. The method according to Claim 17, wherein R<sub>5</sub> is -OH.

10 19. The method according to Claim 12, wherein the movement of ants is retarded.

20. The method according to Claim 12, wherein the ants are Argentine army ants.

21. The method according to Claim 12 wherein the locus is selected from the group consisting of the interior of a home and trees.

15 22. A method for the prolonged retardation of ant, wasp and/or termite movement to a specific locus which comprises the steps of:

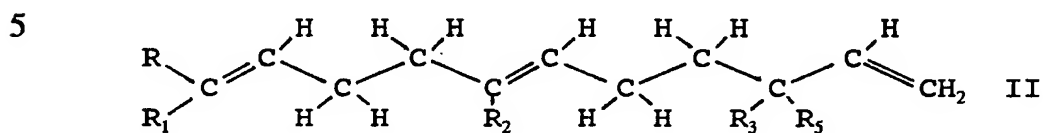
(a) impregnating a compatible matrix with a repellent effective amount of farnesol or nerolidol;

20 (b) positioning the compatible matrix formed in step (a) above between said locus and said ants, wasps, and/or termites; and

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(c) retaining said matrix in said position for a period of at least 28 days.

23. A composition comprising a compatible matrix containing a repellent effective amount of a compound of formula II:



10 wherein R, R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are independently selected from the group consisting of hydrogen and lower alkyl of 1 to 3 carbon atoms; and

R<sub>5</sub> is selected from the group consisting of hydrogen, hydroxyl, chloro, bromo, and -OC(O)R<sub>6</sub> wherein R<sub>6</sub> is hydrogen or alkyl of from 1 to 4 carbon atoms.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US93/08410

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) :A01N31/02

US CL :424/409

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/409, 403, 405, 411-413, 420; 514/739, 919

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
<u>X</u> Y	CHEM. ABSTRACTS VOL. 108: 108135K, "TOXICITY OF TERPENOID DETERRENTS TO THE LEAFCUTTING ANT ATTA CEPHALOTES AND ITS MUTUALISTIC FUNGUS", (HOWARD), (1988), SEE ENTIRE DOCUMENT.	<u>23</u> 1-23
Y	US, A, 5,109,022 (JEANNE) 28 APRIL 1992; SEE COLUMNS 1-4.	1-23
Y	US, A, 4,775,534 (BARTLETT) 04 OCTOBER 1988 SEE COLUMN 3.	1-7,10-18, 21-23
Y	US, A, 4,219,570 (INAZUKA) 26 AUGUST 1980; SEE COLUMN 3, LINE 49, COLUMN 4, LINES 30-34.	1-23



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be part of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

26 NOVEMBER 1993

Date of mailing of the international search report

JAN 03 1994

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Authorized officer

THURMAN K. PAGE

Telephone No. (703) 308-2351